

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

CONCURRENTLY

First Named Inventor

ANTONIO J. COLMENAREZ

Group Art Unit

Examiner Name

Attorney Docket Number

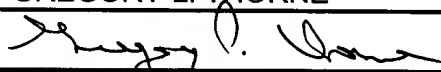
US010421


These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago; document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

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11/19/01

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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| Name (Print Type) | GREGORY L. THORNE | Registration No. (Attorney/Agent) | 39,398 |
| Signature |  | Date | 11/19/01 |

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| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE | | Atty. Docket No. US010421 | | Serial No. | |
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | Applicant ANTONIO J. COLMENAREZ | | | |
| | | Filing Date CONCURRENTLY | | Group | |
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| U.S. PATENT DOCUMENTS | | | | | | | | | | | | |
|-----------------------|----|----|----|----|----|----|-----------------|------|------|-------|-----------|------------------------|
| Ex. Int | AA | AB | AC | AD | AE | AF | Document Number | Date | Name | Class | Sub-class | Filing Date If Approp. |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | |
|--------------------------|----|----|----|----|----|-----------------|------|---------|-------|-----------|--------|----|
| | AG | AH | AI | AJ | AK | Document Number | Date | Country | Class | Sub-class | Trans. | |
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| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|--|--|
| AL | | A.J. Colmenarez, "Facial Analysis from Continuous Video with Application to Human-Computer Interface", Dept. of Electrical and Computer Engineering, Thesis of University of Illinois at Urbana-Champaign, 1999, Chapter 7 |
| AM | | |
| AN | | |

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| Examiner | Date Considered |
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| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. |
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